



Thwarting the Refugee Convention: Government Restrictions & Practitioners' Responses

This paper was presented at Blackstone Chambers' Asylum law seminar, 31 March 2009

By Mike Fordham QC

[H]uman rights have their definitions and their boundaries...[and] it may well be legitimate to devise legislative and other schemes that take maximum advantage of those boundaries. But there comes a point when the legislative devices being used or proposed are so disrespectful of fundamental principles that questions have to be asked about their legitimacy in a country committed to the protection of human rights. Legislative and judicial decisions relevant to this issue must be keenly scrutinised. (Ashworth (2004) 120 LQR 263, 265)

The restrictive trends and pressures on asylum procedures in Western countries tend to lead to practices which turn a blind eye to elementary human rights and undermine the concept of asylum. States are well positioned to devise more ingenious methods which curtail access to international protection... (Andrysek (1997) 9 IJRL 392, 410)

1. **Introduction.** The Refugee Convention provides basic refugee rights, in particular: (1) the right not to be removed to face persecution (Art 32-33); (2) the right not to be penalised for unlawful entry/presence (Art 31); and (3) rights to beneficial treatment within the community (e.g. in relation to employment (Art 17), welfare (Arts 20-24) and administrative measures (Arts 25 et seq)). Refugees are persons who fulfil the Convention criteria, even if they are asylum-claimants whose status has not yet been formally determined as such by the state: see UNHCR Handbook §28. How does or might a State seek to avoid taking straightforward responsibility for those who would be entitled to exercise their

refugee rights? How do or might refugee-protection lawyers respond? Here are some examples.

2. **Impeding refugee travel.** A State might prevent refugees from securing their rights by (1) impeding their arrival in the country of refuge; or even (2) impeding their escape from the country of persecution. Measures include (a) visa regimes, (b) carrier penalties and (c) pre-clearance arrangements: see *ERRC* [2004] UKHL 55. Protections need to be found, whether in: (i) extra-territorial effect of Art 33; (ii) international - *Padfield* [1968] AC 997; or (iii) ECHR Art 3 (cf. *Al-Skeini* [2007] UKHL 26).
3. **Harsh interim treatment.** A State might discourage refugees by harsh interim treatment including (1) administrative detention (*Saadi v UK* 29.1.08 Appl. 13229/03); (2) minimal welfare support; and (3) refusal of permission to work. The harshness of (2) and (3) are exacerbated by delayed status determination (§5 below). Protections need to be found, whether in: (i) ECHR Art 3 (*Limbuela* [2005] UKHL 66), Art 5 (cf. *Saadi*) and Art 8 (*Tekle* [2008] EWHC 3064, [2009] EWCA Civ awaited); (ii) the EU Directives (e.g. Reception Directive Art 11; *Omar* [2008] EWHC 1604, [2009] EWCA Civ awaited); or (iii) common law (e.g. *JCWI* [1997] 1 WLR 275).
4. **Collateral penalisation.** A State might seek to penalise a refugee for an offence which is not directly, but only indirectly, related to unlawful entry/presence: see Immigration and Asylum Act 1999 s.31; *Makurwa* [2006] EWCA Crim 175. Protection needs to be found, whether in: (i) compatible statutory construction; or (ii) abuse of process. See *Asfaw* [2008] UKHL 31.
5. **Delayed status determination.** A State might implement decision-making practices involving prolonged administrative delays in status-determination, in chilling combination with harsh interim treatment (§3 above). There might be: (1) substitute protection (ELR) as an alternative to status-determination; (2)

administrative mishandling; and (3) backlogs. Protection against such ‘limbo’ scenarios needs to be found, whether as to: (i) statutory interpretation (*Saad* [2001] EWCA Civ 2008); (ii) safeguards against unlawful delay (cf. *FH* [2007] EWHC 1571); or (iii) protection as to interim rights (§3 above).

6. **Procedural curtailment.** A State might adopt reduced standards of procedural fairness in the context of asylum-related appeal and review, whether: (1) out of country appeals; (2) manifestly-unfounded/safe third country certification; (3) fresh claim screening; (4) fast-track decision-making (*RLC* [2004] EWCA Civ 1481); (5) restricted legal aid; (6) paper-only statutory review. Protection needs to be found, whether in: (i) EU Directives; (2) ECHR Art 6 (cf. *Maaouia v France* 5.10.00 Appl. 39652/98; *RB (Algeria)* [2008] UKHL 10); (3) ECHR Art 14 (cf. *A* [2004] UKHL 56); or (4) judicial review, including procedural (*Thirukumar* [1989] Imm AR 402, 414) and substantive “anxious scrutiny” being (see *Q* [2003] EWCA Civ 364 at §115):

apt...to apply to the right to seek asylum, which is not only the subject of a separate international convention but is expressly recognised by article 14 of the Universal Declaration of Human Rights (1948)...

As to judicial review, see the shadow cast by *G* [2004] EWCA Civ 1731 and *F (Mongolia)* [2007] EWCA Civ 769.